2017 Spring
Request for Proposals for
Seed Funding

The Agnese Nelms Haury Program in Environment and Social Justice (Haury Program) at the University of Arizona (University) is pleased to request proposals for seed funding. The Haury Program has $100,000 available in total for seed funding awards in spring 2017.

The Haury Program will determine the total number and dollar amounts of individual awards through a competitive review and selection process. The Haury Program expects to fund 2-4 grants. The funding opportunities occur through the University of Arizona (UA) Foundation (UAF) as charitable grants.

What is the Agnese Nelms Haury Program in Environment and Social Justice?

The world faces twin challenges: delivering a decent standard of living for everyone, while living within environmental limits. The Haury Program was established at the University of Arizona to invest its funding in programs, projects and people working to create a safe, just and sustainable space for all humanity. We currently focus primarily on work in the Southwest region, including Arizona, border lands and northern Mexico, and expect that this immediate focus will develop programs that provide impact in this community and models for programs that may be replicated or have value in other regions nationally and internationally.

In 2012, Oxfam economist Kate Raworth introduced a new sustainable development model for Rio +20 conference called the “Doughnut Model” in a working paper entitled A Safe and Just Space for Humanity. Oxfam’s model integrates human development with planetary limitations. Oxfam’s Doughnut model visualizes a space between planetary boundaries and a social floor where it is environmentally safe and socially just for humanity to exist.

The Haury Program uses this construct to visualize the social and environmental issues on which we focus our funding. The Haury Program’s modified version highlights the areas of primary concern in the Southwest. Our program funds work that improves social justice (justice in terms of the distribution of capital (including financial, social, political and human), opportunities, and privileges within a society) within the limits of environmental constraints in areas highlighted on the modified “Southwest Doughnut.” For example, currently funded Haury projects aid indigenous peoples’ communities in developing resilience to climate change, support Hispanic community voices in discussions about water issues, work with community members to improve knowledge about and resilience to climate change, work to alleviate food injustice in underserved neighborhoods, and help university students working with communities to improve social justice through education. To learn more about the seed grants awarded in previously, visit http://www.haury.arizona.edu/grantmaking.
What are the mission and goals of the Haury Program?

The mission of the Haury Program is to leverage the power of university-community partnerships to address environmental and social justice challenges.

Projects funded through this Request for Proposals (RFP) should have at least one of the following goals:

- Improve resilience of marginalized communities as they face the challenges posed by environmental constraints;
- Increase the diversity of voices in discussions of environmental issues and planning;
- Improve environmental health in marginalized communities;
- Advance opportunities for energy, food and water in marginalized communities;
- Improve gender equity in consideration of environmental challenges;
- Strengthen opportunities related to the nexus of social justice and the environment in the education pipeline (K-graduate school) for underserved youth;
- Strengthen organizations working on environmental justice issues;
- Improve communication among diverse groups through focus on capacity, dialogue and advocacy;
- Improve networks for social justice and environment collaborations, resource identification and distribution.

All projects must include both University of Arizona and community collaborators. These partnerships are expected to be authentic collaborations focused on tangible and measurable community needs.
Definitions

*Seed charitable grants:* Seed charitable grants are one-time or well-defined prototype or model projects for completion within 1-2 years and projects to launch new sustainable programs that will obtain any future funding from other sources. Seed charitable grants fund new projects or can fund new components of ongoing programs. Projects should focus on addressing systemic change rather than funding stop-gap responses to environmental and social justice challenges. These teams must include University and community members with comparable contributions and receipt of comparable funding from this grant.

Those proposing can apply for funds up to $100,000 per year for up to two years. For reference, in fall 2015, the Haury Program awarded four grants, each funded at between $23,000 and $30,000 per year. Three of the projects received funding at this level for two years. In spring 2016, the Haury Program awarded two grants, funded at $36,000 and $53,000 per year, with one of the projects receiving funding at this level for two years.

*Community partners:* Non-University of Arizona entities such as organizations working with underserved communities, school districts in underserved communities, Tribal nations, and other marginalized communities. Community colleges and school districts are also considered community partners. The Haury Program encourages additional partnerships with US federal, state, and local municipal (non-Tribal) governments programs and agencies, but the Haury Program does not providing funding to these entities under this grant.

*Southwest:* For purposes of this RFP, “Southwest” includes the state of Arizona, the Arizona-Mexico borderlands, and northern Mexico.

*Authentic partnerships:* The Haury Program uses several indicators of authenticity for partnerships including affirmative answers to the following questions:

- Is there evidence that the project is initiated, driven by community priorities as established by the community members;
- funding – do main partners (UA and community) receive similar amounts of the total funding;
- participation – do main partners participate in all aspects of the project or contribute substantively to critical components;
- are members of the community substantively involved in the project; and
- do the outcomes benefit the community – society – as well as provide educational and research opportunities to UA personnel – is this more than a research project.

Who is eligible to apply for these grants?

Proposals for seed funding must include both University and community partners and activities. Either a University of Arizona group or a community organization (see definition for payment purposes) can be the lead on the project and submit the proposal. A faculty or staff member must be the lead of the University of Arizona group.

Both parties can receive direct payments for their work in accordance with requirements as follows:

*UA primary partner:* The Haury Program transfers funds from University of Arizona Foundation (UAF) to faculty researchers or staff UAF departmental gift accounts. The recipient can transfer funds from UAF gift account to UA departmental account.
Community organization primary partner: The Haury program generally pays community organizations by check. The organization must be one of the following to receive funds from UAF: nonprofit or nongovernmental organizations (IRS code: 501(c)(3)), public school district, Tribal nation, Tribal College, community college, not-for-profit university or college. Eligible organizational entities may represent non-profit organizations, schools (teachers, students), neighborhood groups, indigenous peoples, grass root coalitions, etc.

What does the Haury Program NOT fund?

Haury Program seed grant funding does not fund:

- Capital improvements or building or capital campaigns;
- Ongoing operational funding for existing programs or projects;
- Direct donations or grants to individuals;
- Political campaigns and legislative lobbying efforts; or
- Projects that exclusively serve religious purposes.

How are proposals evaluated?

All proposals will undergo a formal review process in accordance with the following: The proposals that meet the requirements of this call for proposals will be reviewed and considered by review panels, which are made up of subject matter experts of both the University of Arizona and the larger community. The projects recommended by the review panels will be sent to the Haury Program Advisory Board and considered for funding. Submitted proposals will be evaluated as follows:

1. Initial review
   
The Haury Program will review proposals to determine whether they comply with the following mandatory requirements:
   
   - Sufficiently justify the relevance of the project to the served community;
   - Develop socially just solutions to environmental challenges identified in the Southwest Doughnut Model;
   - Include substantive participation by University of Arizona and community partners;
   - Include all required documents; and
   - Clearly identify the focus, objectives and outputs of the project.

2. Review panel evaluation
   
The review panel will judge the proposals that pass the initial review according to the following general criteria:
   
   - Clear and documented assessment of the need for the work and rationale for this assessment;
   - Clear explanation of how and why this project and/or collaboration addresses this need;
   - Clear statement of all work to be performed as part of the project including responsibilities, roles and capabilities of community and University partners as well as expected outcomes;
   - Match of work to capabilities, budget, schedule and milestones;
   - Relevance of the work to the goals and mission of the Haury Program;
   - Potential impact of the project to produce solutions to problems and transformational
changes;
• Sustainability of the project/program/opportunity/collaborations or exit strategy (e.g., replication, adoption by others, mission achievement, sustained service) after the grant term; and
• Other funding sources (past, current for this work) or potential future leverage opportunities – note that matching funds are NOT required nor expected but information that demonstrates how Haury Program funding is or can be leveraged is welcomed and encouraged.

The Haury Program provides scoring templates based on these criteria for panel members.

3. Funding evaluation

The Program Advisory Board and Director will evaluate the review panel’s recommendations (based on score ranking) on the basis of strategic goals and in light of the portfolio of the Haury Program for presentation to the Haury Program Donor-advised Fund (DAF) Board, which then recommends to the University of Arizona Foundation.

The Haury Program strongly encourages opportunities for students and young faculty in all projects it funds. While students cannot be the partner lead on a project, the Haury Program encourages substantive opportunities and participation for students in projects.

No funding match is required but leveraging of Haury funding is encouraged.

Use of Funds and Funding Allotments

Funds may be used for salaries, materials and supplies, travel, equipment. Up to 12% of the funds allotted to an organization’s entire budget may be used for administration of the project, i.e., manager’s salary (this is different from “indirect costs” - see Proposal Content, Budget Categories below). Managers and other workers salaries are expected to be primarily for operational costs.

The University of Arizona Foundation (UAF) will disburse funds to the charitable grant recipients in accordance with Haury Program standard operating procedures and UAF requirements. Funds are directed to the University of Arizona partners (UA programs, centers, departments, schools or colleges) through UAF and University accounts. We expect that funds for community organizations (501(c)(3) organizations) will be allocated directly from UAF to the lead non-profit entity on the project. Details will be provided to charitable grant recipients in the award letter.

Continued funding for multi-year grants will be contingent upon demonstrated progress at the end of each year; poor progress may result in reduced budget in subsequent years or termination of funding.

Special requirements for University of Arizona Partners

These proposals and projects are NOT subject to UA Sponsored Projects review or processing.

What are the proposal requirements?

Proposal due date

Proposals are due on May 15, 2017, at 11:59 pm. Proposals received after this date will not be considered. The Haury Program will open another opportunity for seed charitable grants in fall 2017 for funding starts in January 2018.
Funding Dates
The funding start date for seed charitable grants is July 2017. Seed charitable grants will run from July 2017 through June 2018. Funding for two-year projects will extend through June 2019, but allocation of the second year of funding is based on demonstrated progress during the first year.

Proposal content
All proposals must be written in English.

Seed charitable grants proposals must include the following information in order to be considered:

- Summary (250 words);
- Contact information (point of contact name, organization, email, phone, address)
- Proposal (up to 6 pages)
  - Project goals and objectives;
  - Relevance to the goals of the Haury Program outlined in this RFP;
  - Social and environmental categories (Southwest Doughnut) addressed;
  - Communities benefited by this project;
  - Description of the proposed activity and work plan (including needs assessment, a summary of work already conducted and/or related community programs, expected benefits of the project);
  - Description of partnerships and how the project will promote interaction among collaborators and communities;
  - Description of expected outcomes and impact;
  - Description of dissemination of outcomes, impact to wider audiences and sustainability of project or outcomes including outcome measures;
  - Description of evaluation process;
  - Schedule with milestones;
- Detailed budget including any information concerning resources from additional sources (see template);
- Letter of commitment and role in project by non-lead organization representative; and
- Short bio of the project leaders demonstrating relevant experience (1 page/person).

Budget categories: salaries and benefits, supplies, travel, services (e.g., consultant services), and other (e.g., rental of workshop space), indirect costs (0% for University partners, the federally approved rate or up to 10% of direct costs for non-University partners). Note that both University and community partners can include up to 12% management/administrative as salaries under direct cost.

What is the proposal format?
All proposals must be delivered electronically in portable document format (PDF) as described below.

Attached file and name
Proposals must be attached as a single portable document format (PDF) and titled as follows:

Proposer’s last name first name initial_Abbreviated Name of the Institution_MonthYearofDelivery_SGProposal

Examples:
Jane Smith of Community Solutions for Environmental Justice presents a proposal in May 2017, the file name should be: SmithJ_CommSolnEJ_0517_SGProposal.pdf.

Pedro Sanchez of the University of Arizona presents a proposal in May 2017, the file name should be: SanchezP_UA_0517_SGProposal.pdf.

**Multiple Files**

Proposals containing multiple files will not be accepted.

**Electronic delivery**

All proposals must be delivered electronically to haury@email.arizona.edu. The Haury Program will confirm receipt of each proposal within one business day of submittal. If the submitter does not get a confirmation email, please contact Anna H. Spitz at aspitz@email.arizona.edu.

For information on delivering proposals or for questions:

- Information about the RFP:
  - Information session is scheduled for March 29, 2017; 4 pm to 5 pm, Santa Rosa Library, Room 109, 1075 S. 10th Ave., Tucson, AZ 85701.
  - Anna H. Spitz
    - aspitz@email.arizona.edu
    - 520-626-4584
    - Environment and Natural Resources 2 (ENR2) Building, 5th Floor, University of Arizona, 1064 E Lowell Street, Tucson, AZ 85721.

What are the general reporting and outreach requirements?

Charitable grant recipients will be required to present the following:

- Progress reports as required by the Haury Program (for multi-year programs, these are annual reports that include narrative and financial information);
- Final Report (including program evaluation, final costs aligned to original proposal, summary of work, graphics, videos and photos, continued or proposed follow-on work);
- Public and professional presentations and publications as appropriate;
- Participation in future evaluation of the project initiated under the grant*; and
- Other requirements as specified in the award letter.

*The Haury Program is dedicated to ongoing evaluation of programming and projects to assess sustainability and transformational success of the Program, in order to be able to share lessons learned from projects, and to potentially continue to advance successful program and projects. In addition to the program evaluation required in the grantee’s final report, which provides evaluation of short-term success of the specific project, the Haury Program conducts evaluations up to five years post-completion for various projects. As a condition of acceptance of Haury Program funding, your team agrees to participate in a future evaluation of the work completed under the grant. In consultation with grantees, the Program determines the timeframe (usually no fewer than two years post-completion) and specifics of the evaluation. The Haury Program compensates grantees for participation in this post-completion evaluation in accordance with the degree of their participation.
What are the key dates for the Spring 2017 seed charitable grants?

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<tr>
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<tr>
<td>RFP Announcement</td>
<td>March 15, 2017</td>
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<tr>
<td>Information Session</td>
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<tr>
<td>Submittal Deadline</td>
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<tr>
<td>Announcement of Awards</td>
<td>June 30, 2017</td>
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<tr>
<td>Contracting with Awardees</td>
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<tr>
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Honesty, Transparency, Effectiveness, Economy and Efficiency

An obligation of this program is to manage the available financial resources with transparency, efficiency, effectiveness, economy and honesty, to meet the objectives for which they are intended. Beneficiaries must observe these principles as well, managing funds that are granted to them for the proper performance of the purposes. To do so, they must submit reports on the terms set out in the Agreement between the Haury Program and grantees.

Any breach of these principles shall be cause for cancellation of the support.

Equity and Non-Discrimination

The recipients of Haury Program charitable grants are selected through competitive, efficient, equitable, transparent and public procedures based on merit and quality; charitable grants that are awarded are subject to processes of evaluation, selection, formalization and follow-up, in terms of the regulatory framework that corresponds to each program, therefore, the Haury Program in the allocation of resources to beneficiaries, is obligated not to discriminate the proponents for any reason or social status.

Interpretation and Unforeseen Issues

The interpretation of this call and issues not covered herein will be resolved by the Haury Program, and its resolutions will be final.

Miscellaneous

The Haury Program does not provide office space or other facilities or equipment for grantees. All support, equipment and facilities must be provided by grantee and/or included in the budget.

The Haury Program reserves the right to forgo or postpone funding.

Frequently Asked Questions

Please email your questions to aspitz@email.arizona.edu. We will post answers to all questions on the frequently asked questions (FAQs) link on the website at haury.arizona.edu/grantsS2017/FAQS2017.