2020 Spring Request for Proposals

General Information

The Haury Program was established at the University of Arizona (UA) in 2014 to invest its funding in programs, projects and people working to create a safe, just and sustainable space for all humanity. We currently focus primarily on work in the Southwest region, including Arizona, border lands and northern Mexico, and expect that this immediate focus will develop programs that work toward longer-term impact and systemic change in this regional community and models for programs that may have value in other regions nationally and internationally.

Our program funds work that improves social justice (justice regarding the distribution of financial, social, political and human capital, opportunities, and privileges within a society) while simultaneously addressing environmental sustainability (such as climate change, pollution, natural resource degradation, and loss of biodiversity). For example, funded Haury Program projects include increasing Indigenous peoples communities’ resilience to climate change, improving equity in solar access in financially stressed neighborhoods, working to alleviate food injustice in underserved neighborhoods, and reclaiming agency and community wellness in minority neighborhoods. For a complete list of the seed grants awarded previously, visit the Haury Program website at https://www.haury.arizona.edu.

The mission of the Haury Program is to promote community and university partnerships to create a just and sustainable society.

The goals of the Haury Program are:

- To improve the lives of underserved and underrepresented groups, especially Indigenous and Hispanic populations of the Southwest.
- To create communities that are resilient in the face of environmental and social justice challenges.
- To create change makers in research, education and grassroots communities.
- To create solutions for a changing world that are innovative, entrepreneurial, scalable, and disseminated in an inclusive way.
- To make a global impact through partnerships and by making the Southwest a hub of innovative ideas that result in environmental solutions and social transformation.

The Haury Program does NOT fund:

- Capital improvements or building or capital campaigns;
- Ongoing operational funding for existing programs or projects;
- Direct donations or grants to individuals;
- Grants for the main purpose to plan future work;
- Political campaigns and legislative lobbying efforts; or
- Projects that exclusively serve religious purposes.

Funding allotments

Funds may be used for salaries and benefits, materials and supplies, travel, equipment. Up to 12% of the funds allotted to an organization’s entire budget may be used for administration of the project, i.e., project manager’s salary. UA and community partner may each take 12% for this purpose. Managers and other workers’ salaries are expected to be primarily for performance of project operations. Indirect costs may also be taken by non-UA partners. This amount is the federally approved rate or up to 10% of direct costs for non-UA partners.
No funding match is required but leveraging of Haury Program funding is encouraged. If the proposal claims leveraged funds are part of the project, they must be guaranteed by the external funder at the time of the grant submittal.

The University of Arizona Foundation (UAF) will disburse funds to the charitable grant recipients in accordance with Haury Program standard operating procedures and UAF requirements. Funds are directed to the University of Arizona partners (UA programs, centers, departments, schools or colleges) through UAF and UA accounts. We expect that funds for community organizations (501(c)(3) organizations) will be allocated directly from UAF to the lead non-profit entity on the project. Details will be provided to charitable grant recipients in the award letter.

Continued funding for multi-year grants will be contingent upon demonstrated progress at the end of each year; poor progress may result in reduced budget in subsequent years or termination of funding.

The Haury Program strongly encourages opportunities for UA students and young faculty in all projects it funds. While students cannot be the partner lead on a project proposal, the Haury Program encourages substantive opportunities and participation for students in projects.

**Special requirements for University of Arizona Partners**

These proposals and projects are NOT subject to UA Sponsored Projects review or processing. Grantees must comply with all UA and federal requirements for human subjects’ research.

**What are the general reporting and outreach requirements for successful proposals?**

- Attend semi-annual meetings with the Haury Program with main project partners (video conferencing is acceptable);
- Notice the Haury Program prior to project related public events, professional presentations, media events, etc.—with ample time to publicize and/or attend activities;
- Submit progress reports as required by the Haury Program (these are annual reports that include narrative and financial information);
- Submit a final report (including program evaluation, final costs aligned to original proposal, summary of work, graphics, videos and photos, continued or proposed follow-on work);
- At completion of award, submit a stand-alone document on best practices for the project including lessons learned (both operational and partnering activities) during the project for the Haury Program on-line tool kit Resource;
- Participate in future evaluation of the project initiated under the grant;
- Other requirements as specified in the award letter; and
- Participate fully in the Haury Program network by attending sponsored events and professional development opportunities.

**Honesty, Transparency, Effectiveness, Economy and Efficiency**

An obligation of this program is to manage the available financial resources with transparency, efficiency, effectiveness, economy and honesty, to meet the objectives for which they are intended. Beneficiaries must observe these principles as well, managing funds that are granted to them for the proper performance of the purposes. To do so, they must submit reports on the terms set out in the Agreement between the Haury Program and grantees. Any breach of these principles shall be cause for cancellation of the support.
Equity and Non-Discrimination

The recipients of Haury Program charitable grants are selected through competitive, efficient, equitable, transparent and public procedures based on merit and quality; charitable grants that are awarded are subject to processes of evaluation, selection, formalization and follow-up, in terms of the regulatory framework that corresponds to each program, therefore, the Haury Program in the allocation of resources to beneficiaries, is obligated not to discriminate the proponents for any reason or social status.

Interpretation and Unforeseen Issues

The interpretation of this call and issues not covered herein will be resolved by the Haury Program, and its resolutions will be final.

Miscellaneous

The Haury Program does not provide office space or other facilities or equipment for grantees. All support, equipment and facilities must be provided by grantee and/or included in the budget.

The Haury Program reserves the right to forgo or postpone funding.

FAQ

Please email your questions to haury@email.arizona.edu. We will post answers to all questions on the frequently asked questions (FAQs) link on the website at http://www.haury.arizona.edu/faq-page.
Appendix

For Seed Grants

Tips on Proposal Construction

• The proposal project schedule should be constructed with sufficient time for business related purposes such as setting up initial financial accounts, human subjects protection program approval if needed, development of memorandum of understanding if needed, coordination of activities with partners and community members, and time to coordinate events/outreach with the Haury Program.

• While the Haury Program will fund seed grants of one-year duration, Haury Program staff recommends proposals with a two-year duration in order to have the greatest opportunity for a successful project and sustained project impact after the award term.

How are proposals evaluated?

Proposals are ineligible for consideration and will be rejected for any one of the following:

• Missed submission deadline;
• Incorrect submission method – not using the web form application process;
• Missing or incomplete proposal components, including not uploading the two attachments described in the Seed Grant Application document.

If a proposal is rejected for any of the above reasons, the Haury Program notifies the lead after the proposal deadline. Proposals meeting the above criteria undergo a formal review process.

1. Initial review

The Haury Program reviews proposals to determine whether they comply with the following programmatic criteria:

• Advances the Haury Program’s mission and goals;
• Supports the Haury Program mission to promote community and university partnerships to create a just and sustainable society;
• Includes substantive, complementary participation by University of Arizona and community partners; and
• Clearly identifies the activities and partner roles to meet the stated outcomes of the project.

2. Review panel evaluation

Review panels convene to consider the proposals. Panels consist of representatives from UA and the larger community, including non-profit organizations and government. The review panels recommend projects for funding and these recommendations are sent to the Haury Program Donor Advised Fund (DAF) Board, which resides in the University of Arizona Foundation (UAF). The UAF makes final funding recommendations.

3. Funding evaluation

The Haury Program staff contribute commentary regarding strategic goals and in light of the portfolio of the Haury Program. The panel recommendations and this commentary are presented to DAF Board which then provides final recommendations to UAF. The Haury Program notifies all applicants regarding the selection and provides feedback from the review to each applicant team.
What do review panels look for in proposals – how do you make your proposal stronger?

• Project merit (Roadmap, Budget, Schedule)
  • Demonstration that the project is building upon or referencing previous work for biggest and sustainable impact;
  • Well-defined roadmap for the project including justification for expected outcomes and impact;
  • Match of budget to outcomes;
  • Capability of project management; and
  • Well-conceived schedule.

• Strength of University-Community Team
  • Clear statement of all work to be performed as part of the project including responsibilities, roles and capabilities of community and University partners as well as expected outcomes;
  • Demonstration of authenticity of the team;
  • Opportunities for UA students and younger UA faculty and staff to engage in the project and community;
  • Opportunities for development of diverse and inclusive young leaders in community and university (impacts sustainability also).

• Project Impact
  • Referring back to the framing questions: Potential impact of the project to contribute to solutions to problems within the path to transformational changes to achieve a just and sustainable community, provide actions within the community and potential to provide lessons/models for other communities, or provide information and activities that can support policy improvements;
  • Dissemination of action and knowledge: what will come of this project, what significant tangible product occurs in the community, how might it advance research efforts, and how will others learn of the accomplishment; and

Sustainability of the project/program/opportunity/collaborations after the grant term or an exit strategy (examples of how sustainability might occur: work fits into larger/longer-term plan for transformational change, replication or scalability potential, adoption by others, sustained service, connections within larger efforts and institutions, leveraging to other funding, capacity building).
For Faculty Fellowships

How are nominations evaluated?

All nominations will undergo a formal review process in accordance with the following: The nominations that meet the requirements of this call for nominations will be reviewed and considered by review panels with both the University of Arizona and the larger community members. The nominations recommended by the review panels will be sent to the Haury Program Advisory Board and considered for funding. Submitted proposals will be evaluated as follows:

1. Initial review

   The Haury Program will review fellowship proposals to determine whether they comply with the following mandatory requirements:
   - Sufficiently justify the relevance of the nominee’s work and interests to the Haury Program mission and goals;
   - Develop scholarship, research and solutions to social justice and environmental challenges identified in the Southwest Doughnut Model;
   - Clearly identify the focus, objectives and outputs of the fellowship; and
   - Include all required documents.

   The Haury Program will provide notice of receipt of nominations to the deans of the nominee’s colleges.

2. Review panel evaluation

   The review panel will judge the proposals that pass the initial review according to the following general criteria:
   - A person who provides complete, succinct and compelling responses to the requirements of the proposal (see section below);
   - A person with capacity and potential as a researcher or practitioner in environment and/or social justice issues;
   - A person who extends work across disciplinary boundaries and through effective partnerships and collaborations;
   - A person whose work honors and extends Mrs. Haury’s concerns for people and the environment in the US Southwest and around the world and helps to achieve the Haury Program strategic goals;
   - A person who provides compelling ideas for use of fellowship funds; and
   - A person who recognizes significant potential for continuing collaboration with faculty, students and community at the University of Arizona and in southern Arizona for their work.

   The Haury Program provides scoring templates based on these criteria for panel members.

3. Funding evaluation

   The Program Advisory Board and Director will evaluate the review panel’s recommendations (based on score ranking) on the basis of strategic goals and in light of the portfolio of the Haury Program for presentation to the Haury Program Donor-advised Fund (DAF) Board, which then recommends to the University of Arizona Foundation.

   The Haury Program strongly encourages opportunities for students and young faculty in all its funded work.